

REPORT TO	DATE OF MEETING
Governance Committee	12 th January 2010

Report template revised June 2008



SUBJECT	PORTFOLIO	AUTHOR	ITEM
Half Yearly Risk Report 2009/10 & Revised Corporate Risk Register 2010/11	Finance and Resources	G Barclay & A Armstrong	

SUMMARY & LINK TO CORPORATE PRIORITIES

The Corporate Risk Register (CRR) is the cornerstone of the Council's approach to managing risk and an important element of the Performance Management System. It is the vehicle by which the Council aims to identify and address any potential risks to the achievement of all its strategic objectives. It complements the Corporate Plan and assists in managing its ongoing delivery.

The aims of this report are:

To show the progress made in implementing a range of projects and actions contained in the Corporate Plan and Service Plans which seek to address the key risks and opportunities in the CRR for 2009/10.

To present for Members' consideration a revised CRR for 2010/11 which takes account of those risks which have now been mitigated and any new or emerging risks and opportunities impacting on the Council.

RECOMMENDATIONS

That members note the progress made to address the key strategic risks during 2009/10 by reference to the mid year monitoring statement shown at **Appendix 1**.

That members consider and approve the draft revised CRR 2010/11 shown at **Appendix 2**.

DETAILS AND REASONING

Appendix 1 shows the progress made mid way through the current financial year to implement a range of projects and actions in the Corporate Plan and Service Plans that aim to address the risks & opportunities in the 2009/10 CRR.

All the projects & actions have a green traffic light rating indicating that they have been implemented or are on track. Our assessment is based on the information taken from "Ten" (the Council's performance management system) plus meetings with individual Service Heads to discuss the progress made.

Members will note that there is no update on corporate risk CR/2009/03 – 'Develop the Joint Building Project with Lancashire County Council'. When this was added to the CRR in January 2009 it was a developing issue but shortly after it was decided not to proceed with the project. This has now been removed from the revised version of the CRR.

Appendix 1 will again be updated and be reported to Senior Management Team and Members at the end of the financial year.

Appendix 2 shows the revised CRR for 2010/11. Although the CRR is a live document it is formally reviewed and revised by SMT in December each year alongside the revision of the Corporate Plan to take account of those risks which have now been mitigated and any new or emerging risks and opportunities impacting on the Council.

The revised CRR for 2010/11 contains 9 key risks; 3 of which are new and 6 that will be carried forward from 2009/10 as they remain important risk issues facing the Council.

A traffic light system has been adopted to indicate the relative importance of each risk. The new CRR contains 5 high (red) risks, 3 medium (amber) risks and 1 now considered to be low risk (green).

In line with the Council's agreed risk appetite the high (red) risks require prompt action and work is now in progress with risk owners to produce detailed action plans to mitigate those risks to an acceptable level.

IMPLICATIONS OF REPORT

The Governance Committee's terms of reference require it to oversee all aspects of governance including risk management. This report gives members the assurance that the Council's strategic risk management arrangements are effective and its strategic risks are actively managed.

The matters raised in the report are cross cutting and impact upon individual services and the Council as a whole.

WIDER IMPLICATIONS

In the preparation of this report, consideration has been given to the impact of its proposals in all the areas listed below.

FINANCIAL	There are no financial implications arising directly from this report.		
LEGAL	None directly. These will be considered by each risk owner.		
RISK	None directly. However the identification of these Corporate risks, mean that their risk owners can put in place actions to mitigate the risks, therefore reducing risk to the Council.		
OTHER (see below)			
<i>Asset Management</i>	<i>Corporate Plans and Policies</i>	<i>Crime and Disorder</i>	<i>Efficiency Savings/Value for Money</i>
<i>Equality, Diversity and Community Cohesion</i>	<i>Freedom of Information/ Data Protection</i>	<i>Health and Safety</i>	<i>Health Inequalities</i>
<i>Human Rights Act 1998</i>	<i>Implementing Electronic Government</i>	<i>Staffing, Training and Development</i>	<i>Sustainability</i>

BACKGROUND DOCUMENTS

Draft Corporate Plan 2009–11
Corporate Risk Register 2010-11

KEY CORPORATE RISKS & OPPORTUNITIES 2009/10 – MID YEAR MONITORING STATEMENT

Corporate Priority	Risks / Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project / Action		Project Level Rating	Comments
All Corporate Priorities	CR/2009/01 Minimise the effect of the economic recession and the housing market downturn	New	12	CD (EC) HP&H	6	Deliver an integrated approach to regional and Central Lancashire activities and plans	GREEN	On Track
					8	Work in partnership to maintain confidence and support people through the impact of the economic downturn	GREEN	On Track
Efficient, effective and Exceptional Council	CR/2009/02 Deliver the C-Smart program to transform customer service, maximise efficiency, address the budget shortfall & maintain employee morale.	New (combined reworded)	12	CD (R) HP&CE	14	Deliver the C-Smart programme to transform services to deliver value for money and exceptional customer service	GREEN	On Track
					17	Ensure effective collaborative/partnership working with neighbouring districts and the County Council	GREEN	On Track
	CR/2009/03 Develop the joint building project with Lancashire County Council.	New	12	CD (R)				
All Corporate Priorities	CR/2009/04 Deliver regeneration of Leyland and the South Ribble area.	c/f	12	HR&HC	2	Review development plans for major parks and open spaces in a joined-up way, including securing external funding	GREEN	On Track
					6	Deliver an integrated approach to regional and Central Lancashire activities and plans	GREEN	On Track
					7	Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	GREEN	On Track
					8	Work in partnership to maintain confidence and support people through the impact of the economic downturn	GREEN	On Track

Corporate Priority	Risks / Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project / Action	Project Level Rating	Comments	
					9	Take the lead on delivering regeneration in Leyland and South Ribble, including securing funding, in conjunction with partners	GREEN	On Track
Strong & Healthy Communities	CR/2009/05 Explore partnership opportunities to address health inequalities.	c/f	8	HR&HC	10	Contribute to the effective delivery of the Children's Trust Action Plan	GREEN	On Track
					11	Contribute to the effective delivery of the Older People's Partnership Action Plan	GREEN	On Track
					12	Strengthen our approach to community leadership / engagement and service delivery, with partners, to deliver a successful 'place shaping' agenda	GREEN	On Track
					13	Deliver health improvement programmes to address health inequalities and narrow the gap	GREEN	On Track
					15	Use all available information to develop borough and neighbourhood profiles to improve service delivery	GREEN	On Track
Strong South Ribble in the heart of a prosperous Central Lancashire	CR/2009/06 Strive to maintain excellent external inspection results by delivering meaningful outcomes from key partnerships.	New (combined)	8	HP&CE	4	Achieve strategic objectives for the waste service	GREEN	On Track
					5	Via the CDRP, deliver the Community Safety Strategy / Action Plan	GREEN	On Track
					6	Deliver an integrated approach to regional and Central Lancashire activities and plans	GREEN	On Track
					7	Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	GREEN	On Track
					9	Take the lead on delivering regeneration in Leyland and South Ribble, including securing funding, in conjunction with partners	GREEN	On Track

Corporate Priority	Risks / Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project / Action	Project Level Rating	Comments	
					10	Contribute to the effective delivery of the Children's Trust Action Plan	GREEN	On Track
					11	Contribute to the effective delivery of the Older People's Partnership Action Plan	GREEN	On Track
					12	Strengthen our approach to community leadership / engagement and service delivery, with partners, to deliver a successful 'place shaping' agenda	GREEN	On Track
					14	Deliver the C-Smart programme to transform services to deliver value for money and exceptional customer service	GREEN	On Track
					15	Use all available information to develop borough and neighbourhood profiles to improve service delivery	GREEN	On Track
					16	Deliver the Environment Pilot and Locality Plan with LCC	GREEN	On Track
					17	Ensure effective collaborative / partnership working with neighbouring districts and the County Council	GREEN	On Track
					18	Deliver the updated 'Our People' Action Plan	GREEN	On Track
Strong South Ribble in the heart of a prosperous Central Lancashire	CR/2009/07 Optimise the sub-regional agenda	c/f	8	HP&H	2	Review development plans for major parks and open spaces in a joined-up way, including securing external funding	GREEN	On Track
					5	Via the CDRP, deliver the Community Safety Strategy / Action Plan	GREEN	On Track
					6	Deliver an integrated approach to regional and Central Lancashire activities and plans	GREEN	On Track
					7	Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	GREEN	On Track

Corporate Priority	Risks / Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project / Action	Project Level Rating	Comments
					9 Take the lead on delivering regeneration in Leyland and South Ribble, including securing funding, in conjunction with partners	GREEN	On Track
					16 Deliver the Environment Pilot and Locality Plan with LCC	GREEN	On Track
Strong & Healthy Communities	CR/2009/08 Enhance community engagement & leadership including the role of members	c/f (re-worded)	9	HCG HP&CE	5 Via the CDRP, deliver the Community Safety Strategy / Action Plan	GREEN	On Track
					8 Work in partnership to maintain confidence and support people through the impact of the economic downturn	GREEN	On Track
					9 Take the lead on delivering regeneration in Leyland and South Ribble, including securing funding, in conjunction with partners	GREEN	On Track
					11 Contribute to the effective delivery of the Older People's Partnership Action Plan	GREEN	On Track
					12 Strengthen our approach to community leadership / engagement and service delivery, with partners, to deliver a successful 'place shaping' agenda	GREEN	On Track
					13 Deliver health improvement programmes to address health inequalities and narrow the gap	GREEN	On Track
					15 Use all available information to develop borough and neighbourhood profiles to improve service delivery	GREEN	On Track
					16 Deliver the Environment Pilot and Locality Plan with LCC	GREEN	On Track
					All Corporate Priorities	CR/2009/09 Maximise the potential for collaborative working with neighbouring authorities.	c/f
6 Deliver an integrated approach to regional and Central Lancashire activities and plans	GREEN	On Track					

Corporate Priority	Risks / Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project / Action	Project Level Rating	Comments	
			4	HP&CE	7	Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	GREEN	On Track
					16	Deliver the Environment Pilot and Locality Plan with LCC	GREEN	On Track
					17	Ensure effective collaborative / partnership working with neighbouring districts and the County Council	GREEN	On Track
Clean, Green	CR/2009/10 Failure to exploit opportunities to deliver Sustainability/Climate Change.	c/f			3	Deliver the Council's Sustainability and Climate Change Action Plan	GREEN	On Track
			6	Deliver an integrated approach to regional and Central Lancashire activities and plans	GREEN	On Track		
			7	Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	GREEN	On Track		
			16	Deliver the Environment Pilot and Locality Plan with LCC	GREEN	On Track		

KEY



= progress being made; performance on track

= some



progress made; performance limited



= little or no progress made; performance needs to improve

CORPORATE RISK REGISTER - SCHEDULE OF KEY CORPORATE RISKS / OPPORTUNITIES 2010/11

Corporate Risk No	Risk / Opportunities	New or carried forward	Risk Score	Cabinet Member	Risk Owner	Corporate Priority
CR/2010/01	Minimise the effect of the economic recession and the housing market downturn	c/f	9	F&R R&P	CD (EC) HP&H	All
CR/2010/02	Deliver the C-Smart program to transform customer service, maximise efficiency, address the budget shortfall & maintain employee morale.	c/f	9	F&R C&S	CD (R) HP&CE	Efficient, effective and Exceptional Council.
CR/2010/03	Deliver regeneration of Leyland and the South Ribble area.	c/f	12	R&P	HR&HC	All
CR/2010/04	Continue to ensure the delivery of affordable housing as fewer developments are being created.	New	12	R&P	HP&H	Strong South Ribble in the heart of a prosperous Central Lancashire.
CR/2010/05	Implement changes within the timescales required to deliver the revised Lancashire Waste Strategy	New	12	E&GI	HOSS CD (R)	Clean, Green and Safe
CR/2010/06	Explore partnership opportunities to address health inequalities and support the increasing needs of older adults and young people.	New (combined)	9	E&GI	HR&HC	Strong & Healthy Communities

CR/2010/07	Strive to maintain excellent external inspection results by delivering meaningful outcomes from key partnerships.	c/f	8	L L&C SS	HP&CE	Strong South Ribble in the heart of a prosperous Central Lancashire.
CR/2010/08	Optimise the sub-regional agenda	c/f	6	L R&P	HP&H	Strong South Ribble in the heart of a prosperous Central Lancashire.
CR/2010/09	Maximise the potential for collaborative working with neighbouring authorities.	c/f	4	L	CD (P&N)	All

ACTION TO BE TAKEN AFTER SCORING		
1 – 4	LOW	Limited action, incorporate into long term plans
5 – 10	MEDIUM	Review previous controls / actions – incorporate into current plans
11 - 16	HIGH	Immediate action required – complete Action Plan

KEY			
L	Leader	CD R	Corporate Director (Resources)
F & R	Finance & Resources	CD P&N	Corporate Director (Policy & Neighbourhoods)
C & S	Corporate & Support Services	CD E&C	Corporate Director (Environment & Community)
E & G I	Environmental & Green Issues	HR&HC	Head of Regeneration and Healthy Communities
R & P	Regeneration & Planning	HP&H	Head of Planning & Housing
SS	Street Scene	HP&CE	Head of Policy & Community Engagement
L & C	Head of Leisure & Cultural Services	HOSS	Head of Street Scene
CR	Corporate Risk		